HV 9475 N716 N483x APR. 20 NEW YORK STATE SPECIAL COMMISSION ON ATTICA

In the Matter of the

2045

Public Hearings

at

NEW YORK, NEW YORK

Channel 13/WNDT-TV 433 West 53rd Street New York, New York

April 20, 1972 10:30 a.m.

Before:

ROBERT B. McKAY, Chairman,

MOST REV. EDWIN BRODERICK,

ROBERT L. CARTER,

MRS. AMALIA GUERRERO,

AMOS HENIX,

BURKE MARSHALL,

WALTER N. ROTHSCHILD, JR.,

MRS. DOROTHY WADSWORTH,

WILLIAM WILBANKS,

Commission Members.

PRESENT:

3

2

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

having been 884502

ARTHUR LIMAN, General Counsel

MILTON WILLIAMS, Deputy General Counsel

JUDGE CHARLES WILLIS, Deputy General Counsel

ROBERT POTTS, JR., Communications Consultant

MARC LUXEMBURG, Deputy General Counsel

ROBERT SACKETT, Deputy General Counsel

000

MR. McKAY: This is the morning session of the seventh day of the hearings of the New York State Commission on Attica.

Our first witness this morning is Mr. Thomas Wicker of The New York Times, continuing the testimony that was incomplete two days ago.

Mr. Wicker has been sworn.

Mr. Liman, will you conduct the ques-

WICKER,

tioning?

THOMAS

previously sworn, resumed the stand and was examined and testified further as follows:

EXAMINATION (cont'd) BY MR. LIMAN:

Mr. Wicker, yesterday Clarence Jones commented in his testimony that by the time the observers returned to the yard Sunday afternoon they felt that the ball game was over, and he compared the rhetoric in the yard to a tribal ritual in which men knew that they were going to be engaged in battle with overwhelming force the following day and were preparing themselves for death.

Is that the way it struck you, or --

A Well, there simply was a feeling, as I believe I said the other day, that that was a farewell meeting, so to speak; there wouldn't be any more; that something was going to happen, and very soon.

We thought -- some of us even thought later that afternoon or later that night -- so there was a feeling of the whole thing being over, as you say, and some of the -- some of the inmates who were in revolt there certainly seemed to feel and rhetoric suggested that their lives were very much at stake at that point and, therefore, some of the people in our group, particularly those who had friends in the pri-

1

3

4

5

6~

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

involved in the whole situation at that time. Now, did any of the inmates suggest pri-Q vately or publicly Sunday that there might be some

compromise on the amnesty issue?

Α Not to me.

And not in your presence? Q

Α No.

When you returned from the yard, would Q you describe what efforts the observers' committee made that Sunday to forestall the inevitable?

Α Well, I think actually most of the efforts that we had made were made -- had already been made by the time we -- the small group I was with that went into the yard on Saturday afternoon came out.

About the last thing that remained in my recollection and in the formal sense, was we had gone in there in order, ostensibly, to interview the hostages and to report on their well-being.

So, at that time, particularly -- in that particular part of the thing I had been one of those acting as a pool reporter for the Press, which was outside of the Attica Prison. So, I went out, along with Mr. Edwards of the Amsterdam News, and held just a very brief news conference outside.

I suppose I would say or actually

1

2

3

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

765 gave a report to the other reporters on what we had seen inside the prison that afternoon, what had been saic., what the atmosphere was as best we could ascertain it and what the situation seemed to be.

But prior to that, early in the afternoon, of course, four of us had made the telephone call that I described the other day to Governor Rockefeller.

The observers' group had issued a public statement, which I think was widely broadcast on radio in the State suggesting that there was going to be -- there was going to be a lot of violence and bloodshed at Attica and suggesting further that citizens might write telegrams or call the Governor suggesting that he come to Attica in an effort to forestall that.

How did you select the hostages whom you Q interviewed that Sunday afternoon in the yard?

We didn't. The prisoners brought them up one by one and there didn't seem to be any -- there didn't seem to me to be any particular order in how they did it.

And they had all the hostages lined up and the original idea was we were going to interview all of them. As it turned out, we didn't interview

24

anywhere near all of them, only perhaps 766 ten, as I recall, because each individual interview took so long. We just ran out of time.

Did you ever, during your trips in the Q yard, just wander around the yard and talk to people?

Well, a little bit. Mostly on the first day that I went in there.

The atmosphere was freer and easier at that time and that is the occasion when I described how they took us over to where the hostages were being held in the ring of wooden benches and we were able to wander around a little bit then.

Again, members of our group who either knew people who were in the prison or because their work as lawyers or social workers had been in the prison fairly often or -- they felt freer, frankly, to wander around than I did.

I also felt some restraint about doing that because it was a very alien atmosphere to me.

Now, you had been told that the inmates were not willing to accept the twenty-eight proposals.

What was your --

Well, not as a package. As I think I said before, I always felt that the amnesty question was the key question and if that could have been settled

then I think the rest of the package would 2 have been more or less secondary. So, I think it isn't 3 quite correct to say that they rejected the twenty-4 eight points out of hand, as one big package. 5 But they rejected them as the totality of Q 6 what they would get? 7 That's right, yes. 8 By what process did you understand that Q the inmates had come to this decision? 10 Well, firstly on Saturday night, those 11 who had been in the prison yard when the points were 12 presented, several of them told me later about the 13 very strong reaction of the prisoners against that, 14 and I got my first word on that from Louis Steele. 15 Later on, I talked at some length with Clarence Jones 16 about very similar accounts in each case. 17 Then on Sunday, when I, myself, was in the 18 prison yard, the prisoners left no doubt in their 19 rhetoric that the sort of amnesty proposal or arrange-20 ment we had been able to make was entirely too limi-21 ted for what they thought were their needs, and they 22 were still talking in terms of total and complete 23 amnesty. 24 Did you understand that inmates who dis-

agreed or who were frightened were free to leave

25

11 12

14

16

17

15

18

19

20

21

22

23

24

25

You mean that the prisoners who were in revolt would let any prisoner who wanted to go back into the custody of --

Q Yes.

I didn't understand that then.

To this moment, I do not know whether or not that was a fact. I don't know.

Did people appear to be frightened, to you, on Sunday? Did you see signs of fright?

> Among the prisoners? Α

Yes.

No, I don't think I would say I saw signs Α of fright, as such.

There was -- there was a good deal of just real emotionalism loose in the yard, so to speak, and it reflected itself in rhetoric and it reflected itself in the attitudes of some of the prisoners in the way they would speak to us, and so forth, but I didn't see anyone -- there was nothing that was visibly or demonstrative in fright or fear, no.

You mentioned that this was an alien environment for you. Did you have the opinion that the speeches which you described as emotional speeches at times represented the views of the majority of the

were making?

3

Ţ

2

4

5

6 7

8

~

10

11 12

13

14

15

16

17

18

19

20

21

22

23

24

25

Yes. About holding out until the end and Q being prepared to die?

Well, I think -- you know, I wouldn't be prepared really to make a generalization about all those men who were in the yard, so very few of whom spoke during the whole period we were there, but I think I could make this statement:

You mean the speeches that the inmates

When orators would make a great declaration about holding out to the end and so forth, there was never any uprising out in the crowd, no shouts of "No, no," or no one trying to come up and take over the microphone or no visible signs of disagreement with that.

Now, that doesn't of course necessarily mean the reverse, that everybody did agree with it, but certainly that kind of oratory didn't provoke any visible opposition in the audience.

Q Did any inmates take the mike and say that they wanted to accept the twenty-eight demands as is?

Α Not to my knowledge.

Now, that's on Sunday afternoon. You under-

stand that I wasn't there throughout the 2 Saturday night. 3 Q Right. 4 Now, on Sunday afternoon or early evening, 5 after you had left the yard and after you had held 6 this Press interview outside, were you present when 7 the observers met with Commissioner Oswald for the 8 last time? A Α Sunday night? 10 Q Yes. 11 Α Yes. Would you describe that meeting? 12 Q 13 Well, it was a very emotional meeting on Α all our parts. It was a very wrought-up meeting. 14 We understood by then, or thought we under-15 stood, that the prison authorities, the State autho-16 rities, had made a decision to recapture control of 17 18 the prison as soon as they could. 19 We attempted to impress on Commissioner Oswald the same view that we had attempted to impress 20 on the Governor that afternoon, that we thought if 21 the status quo could be maintained somewhat longer, 22 that possibly there might be a break in the negotiating 23 impasse.

I felt -- this bears a little bit on your

24

25

there were no visible signs of opposition to their
rather adamant position that most of the speakers were
taking there, I felt if there would be a break in the
solidarity of the prisoners -- this, of course, is a
horseback judgment on my part, but I felt it was
much more likely to be in the direction of those who

horseback judgment on my part, but I felt it was much more likely to be in the direction of those who would want to give up the revolt and accept the best arrangements that they could.

I felt it was much more likely to be in that direction than that of a more militant leader—ship who might want to take some more drastic action, because it was so plain. It seemed so plain to me that taking any further drastic action on their part, damaging the hostages or something of that sort, would have been very much against their self-interest there.

So, I felt that if there were going to be a change in the situation it would be likely to be for the better from the point of view of the prison authorities, you see.

So, I was among those who advocated wholeheartedly that if possible the prison officials, the State officials, hold off on any attack on the yard as long as they could in hopes of the impasse being

13

14

15

16 17

18 19

20 21

22

24

23

25

We attempted to get assurances from Commissicner Oswald that there would be no assault on the prison that night, because some of us, most of us, in fact, were getting ready to leave, you know, get a night's sleep and so forth, so we tried to get assurances from him that there would be no attack that night, and while he would not give a flat assurance that could be described as such, I think he managed to convey, in an indirect fashion, that that wouldn't happen that night, but he didn't go so far as to give us an assurance.

He was under -- Commissioner Oswald was under heavy pressure in that steward's room with the observers there not merely to delay, of course, but he was under very heavy pressure in sort of an emotional sense, because it was quite clear to me -if I am permitted to characterize my judgment of Mr. Oswald's attitude or position, it was quite clear to me that he personally did not want to act in any such manner that would cause a great loss of life.

I had the impression throughout this period that Commissioner Oswald much more nearly would have left to his personal devices -- would much more nearly have shared our view of the situation than per-

impressed on him both by his subordinates and colleagues and by State officials.

Now, that is purely a judgment on my part and I have no -- I have no substantive knowledge of that fact.

Q Well, he is going to testify at these hearings, and he will speak for himself on these questions.

Did he give you any explanation of why, having waited four days, he felt that the State couldn't wait any longer?

A Well, he gave many reasons. You know, the fact that the conditions in the prison were getting worse, conditions for the hostages were getting worse, the prison itself was still out of control and I think that no responsible official could be comfortable with that situation, you know, but he also spoke of the pressures that he was under.

He mentioned telegrams from other correctional officers around the country. I think that one of the things that were in their minds also was that the more nearly the revolt at Attica appeared successful from the point of view of the prisoners, the more likely they would have another one somewhere

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

else and certainly Mr. Oswald made no bones 774 to us about the fact that he was under very heavy pressure to do something to obtain the release of the hostages.

Of course, you have to remember that the hostages were not merely dummy figures here. were wives and sons and fathers who were standing outside the prison wall. They were right there and Mr. Oswald, if he was not in daily contact with them, he certainly was in daily contact with those who were.

Q Were you aware that the demands of the people outside the walls, including the families of hostages, were to move right in?

Α Oh, yes. When I went out and briefed the Press late Sunday afternoon, I was subjected to some abuse myself, which I thought was entirely understandable on the part of those people who were very upset and worried about their relatives and friends, but I was surprised to find just in that brief period there, as I heard people shouting at me from the crowd and then later when I was through, several of the townspeople and prison people who were standing there were themselves interviewed by the radio and television reporters, and I heard some of the interviews, and I was a little surprised at the extent to which people

775 who had relatives or friends as hostages in the vard were urging armed attack right away, because I would have thought, you see, that they would have been saying "Don't do anything that might endanger the lives of the hostages," but one of the things that was true about this whole situation, among other things, is it was very educational. It's a hard way to learn things, but one of the things that's really true about a situation like this, you see, is that logic and reason don't necessarily apply when people are under abnormal pressures. Now, that's perhaps a banality, but perhaps sometimes you have to be in a circumstance like this fully to understand how someone who is fearful for the life perhaps of their husbands or whatever, really is not thinking rationally and can hardly be expected to. Did you get the feeling from listening to Q these people talk that the press of observers from the left had produced a counter-reaction in the townspeople's action that they may have had mixed feelings

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

as to whether they wanted the negotiations to succeed under these auspices?

You mean the members of our observers' Α group?

24

25

No, I can't say that I got the feeling Α that any particular member of the observers' group caused the townspeople to turn against the whole group, if that's the point that you were trying to make, but I felt that by Sunday afternoon -- again, I am making judgments here that I am perhaps not qualified to make, but I felt, just in the atmosphere of that crowd, that the townspeople, particularly those connected with the prison, other correctional officers, some of the law enforcement people who were there, I think that they felt that the observers had had their chance and at that point they were just making things worse, standing in the way of what they saw as being the proper course of action, which was to move with force to retake the prison, and indeed, that was the case.

Q Were any compromises proposed to Mr. Oswald that night?

A Oh, yes.

We hauled out all sorts of gimmicks, you know, that we were trying to work out and the problem was always, you see -- and this re information
by judgment, the problem was always in this amnesty
question, and as long as no compromise seemed possible

1

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

on that, all the other compromises were short of what was going to be effective. It seems to me I suggested later on in the night -- we were kind of foggy about what we were going to be doing.

I suggested -- you see, the Commissioner was trying to get the prisoners to a point, a normal negotiating team that would meet with him on what he called neutral grounds, which was somewhere else in the prison outside of their stronghold.

They wouldn't do that, and my impression -in fact, I know at least the rason that they explained to me that they wouldn't do that, was because they wanted to conduct the negotiations in the presence of the eleven or twelve hundred men who were there, on the grounds that if three or four men went off and made an arrangement that might appear to be less than advantageous to the men who were in revolt, then those who made the arrangement would be accused of having sold them out and they wanted to do this in front of the prisoners.

I made a suggestion to the Commissioner that maybe he could get them to exchange a hostage every day, you know. If they had -- what was it? Fifty -twenty-eight I guess it was, hostages, I made a suggestion that perhaps he could get them to exchange a

hostage every day in return for an hour of 778 negotiation, which is really a gimmicky kind of thing, and it just was practically laughed out of the room, but I made that only to suggest that we were sort of just grabbing at straws by that time in trying to work out something, but as long as the impasse remained, on amnesty, all of that was just fog.

Q You returned to the prison before the police action the next morning?

A Yes.

Q What time were you admitted, actually, to the prison?

A Oh, gee, it must have been around eight o'clock, I think.

Q Were you aware, from the activity outside, that this was the morning that they were going in?

A Oh, yes. We knew by then. Most of us were pretty sure. I felt morally certain and I think it has now been relatively well established that the attackers would have gone in on Sunday afternoon had it not been for the various efforts of the observers and our final effort to -- our final arrangement to go back in there one more time on Sunday afternoon, and so forth.

Did you or any or the other observer 2 to your knowledge, attempt to ascertain exactly what 3 the assault plans were and what the force would be 4 that was going to be used? 5 Α Yes. We asked questions of that kind, but 6 they gave us very little information about that. 7 Who did you ask the questions of? 8 Well, I don't remember specific occasions, Α 9 but the people that we would have asked would have 10 been Commissioner Oswald and Commissioner Dunbar, 11 assistant commissioner Dunbar. 12 I don't recall myself having an opportunity 13 after the very first minutes that I entered the 14 prison on Friday afternoon -- I don't believe I talked 15 for instance to Captain Williams after that. 16 Some members of my group may have, but I 17 don't remember -- I know I didn't. 18 Would you tell us what happened that 19 morning from your own experience? 20 Α Yes. 21 Well, not all of our group came back into the prison. Some felt that -- I know some felt that 22 23 we might be in physical danger ourselves. I didn't share that view, but some felt that we might be. 24

Some felt, I think, that they would be better

25

able to find out what was going on and

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

780

be in touch with the outside world if they were outside the prison at that point. But some of us felt, and consciously so, that perhaps our presence in the prison might, at least, have some kind of ameliorating effect on what might happen.

I don't mean to sound like that we would go in and be sacrifices, I don't know anybody who did that, but we thought our presence would be some help.

We were in the stores' room quite early,
perhaps a dozen or fifteen of us, and we were notified very quickly that Commissioner Oswald had delivered what in effect was an ultimatum to the prisoners.
He gave them an hour, I think, to give up or face the
consequences.

We were then ordered by Commissioner Dunbar to leave the prison, although, again, it seemed fairly plain to most of us that nobody was going to actually carry the order out, but it was delivered pro forma and one or two of our group there, I remember State Senator Dunn, for instance, that's state official, felt that he ought to carry out a formal order of that kind, so he left the prison yard.

But the rest of us remained there and then

4

5

6

7

8

9

10

11

12

13

14

15 16

17

18

19

20

21

22

23

24

25

the power went off, which signalled the attack, because there was some fear, apparently, on the part of the authorities, of the prisoners having been able to electrify barricades and that sort of thing.

So, the power was cut off and there was this great roaring and screaming of helicopters at that point. We could hear the loudspeakers from the helicopters asking -- we could barely make out the words, but in effect they were saying surrender, and no harm will come to you.

That, in effect, was what was happening.

I couldn't hear the rifle fire, because we had our windows closed and seal against the gas, which by then was sweeping in. We had asked -- even after we had been ordered to leave, we asked -- sent word out to see if a guard could be assigned to the door of our room, and it may seem exaggerated now to people who are listening to this, but particularly one or two of the black members of our group that wanted a guard there because they -- the atmosphere was such as to have alarmed them that the observer group might be attacked.

I say particularly the black members felt that way. I think those fears were exaggerated, but

14 15

10

11

12

13

17

16

19

20

18

21

22

24

23

25

outside the wall, I think that in circumstances of this kind you know exaggerations are the norm rather than the exception.

again, as in the case of the families

So, very shortly after the attack began, why, our room became, it wasn't filled with gas, but it was quite -- I mean, the gas was a very noticeable presence in there and, so far from being -- so far from being threatening to us, the guard who had been assigned to the door and showed people who didn't know how -- with a bucket of water and we wet handkerchiefs and held them over our faces, and that was to minimize the effect of the gas.

Was he a State trooper or a correctional officer?

Α He was a correctional officer, so far as I recall, and he was very good in that period.

Now, we remained huddled in that room while the attack went on. Ultimately, we had a view -can I point --

Sure.

We were approximately at this point of the Administration Building, and ultimately we could see troopers over here on the wall. I say troopers. I don't know exactly who they were. State forces were

24

25

over here on the wall launching gas grenades 783 against this building and/or perhaps it was this one, it's very difficult to make out in the pictures, but they were launching the grenades from approximately that guard tower on the wall.

So, that was actually all we could see of the action, other than the helicopters sweeping in or something, but out at this part of the prison we had a window looking out on there, too.

After some length of time, we had been able to see them bring the bodies out.

Q Were you able to observe the conditions of the bodies?

Α Well, no. We were in the second floor window and twenty-five, thirty yards from where I am talking about, but they brought -- we could see bodies being brought out. I didn't see anyone that looked as if he were wounded.

What I saw were what these people appeared to think were dead men and in one case we saw someone just dropped like a sack of wheat, and then we also were able to see what we took to be some of the hostages coming out, freed and there was a good deal of commotion and activity out there, and ultimately we began to get a periodic report from Commis__

sioner Dunbar, who came in several times 784
to tell us what was going on.

He told us when the prison was considered secure and he gave us a rising count of dead in both prisoners and hostages, but ultimately we got the final count, that is, the final count that was made that morning.

As you know, there were one or two deaths as a result of the attack, but that morning we finally got the final count from Senator Dunn, who came back.

Q Did Commissioner Dunbar give any explanation of the cause of death when he gave you the figures?

A No. I don't recall that we got into that controversy. We didn't get into the situation there as to how any of the hostages had died or how others had died. He just -- he gave us a differentiated count of how many hostages and how many prisoners were killed.

Q When did you leave the prison that day?

A Well, very shortly after the attack was over and the prison was announced as having been secured, and Senator Dunn came in and gave us the full enormity of the number of dead.

I think it was Commissioner Dunbar, who, on behalf

of Commissioner Oswald, said that the commissioner now

requested us to leave and in a quite different fashion than before. They obviously meant business this time and the only exceptions were elected officials; that is, that would include Senator Dunn and Congressman Badillo and Senator Garcia.

Elected officials, he said, could remain

Elected officials, he said, could remain and would be given a tour of the prison later on. It was not made clear that they would be able to wander around alone, but they would be given a guided tour of the prison.

I made arrangements with Representative

Badillo and Senator Garcia, that as soon as that tour

was completed they would get in touch with me or my

office at The New York Times and they would tell me

what happened and what they had seen.

Then we left.

Q By the time you had left the prison, had you been given to understand that hostages had been killed by inmates?

A Yes. That was our understanding, but again in this case I don't recall that to us in the room there definite assertions were made, such as the

12

13

14

15

16

17

18

19

20

21

-2

23

24

25

I don't think that sort of thing was said. It was more like, "Nine hostages are dead and twenty inmates"-or whatever the count might have been at a given time, and the presumption on all of our parts was very strong that a dead hostage meant that he had been killed by the prisoners, because the prisoners had made it quite plain that that was what they intended to do and indeed we all thought that's what they would do and that was one of the reasons that he had based our discussions the day before, that there was going to be a great deal of blood shed.

In view of that impression, which could Q have a significant effect on the conduct of correction officers and others, can you tell me whether any members of the observer group requested to be present when the inmates were returned to their cells and to maintain some kind of surveillance?

In an official way -- I don't know what would be considered an official request here, but throughout that period, in particular those members of our group who had residences in Buffalo and Rochester and in that part of the State, we talked a good deal about trying to have an actual observer group, an Omsbudsman so to speak, someone who could be there

outside of the prison's bureaucracy and see 78 what was happening and that desire was certainly expressed to Commissioner Oswald and others throughout this period.

Now, whether or not there was ever some kind of an official request by our group as an official party to be present, I don't know.

Q I wasn't asking about an official request, but you did, during this period, ask to be present to observe what was going to happen?

A Oh, yes. And that was one of the reasons that some of us were in the prison on Monday morning when the attack took place, and it was a reason why, because we had made such requests, I think, that was the reason why the State then made exception for elected officials, like Senator Dunn and Congressman Badillo, to allow them at least to be given a guided tour of the prison, but as I understand it -- you understand that I wasn't there, but it was a guided tour, it wasn't that they were allowed to wander around and see what happened.

Q Did you feel that the twenty-eight demands that Commissioner Oswald conceded to represented any concessions on his part or the part of the State of New York?

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

I thought there were one or two really quite significant concessions in there.

People's impression differed on this, but I felt, for example, the point saying that no one otherwise entitled to parole would lose it merely for having been involved -- taken part in the revolt. I thought that that not only represented quite a sensible judgment on the State's part, but also it seemed to me it ought to have had a good effect on the prisoners, because clearly there were a good many in there who were precisely in that position, but I think many of the other things, for instance, you. know, freedom of religion, better education system, and all that kind of thing, not only was that largely just talk -- I don't mean -- I mean, it's the kind of thing that's very easy to say is what I mean, and hence only the actual carrying out of that pledge later on really would have meant -- on paper, it means nothing to say that we are going to have a better education system.

So, I didn't think that amounted to very Some of the other points, it seemed to me, were the sort of thing that the State ought to have been doing all along. I don't see why the State of New York, if it is true, to force black muslims to

they were in prison. And it didn't seem to me that there wasn't freedom of religion in prison. So, to label things like that as concessions on the part of the State, it seems to me, is quite ridiculous, because those are the things that any decent society should have been providing, even for its offenders, all along.

So, while I felt that there were some points in the package of twenty-eight that made sense and should have been of value to the prisoners, it's really quite wrong, I think, to label those as twenty-eight major steps toward prison reform, as I have seen them called. It's not so. Not in my judgment.

Q What concessions did the inmates make during those negotiations?

A Well, I don't suppose you could say that they made any concessions, because most of the points that they asked us to take up with the State, the demands that they made, so to speak, we did take up with the State and got some kind of response. Oh, for instance, they demanded — they demanded the minimum wage for their work in the prison. Well, the State response to that was that that would be submitted as a proposal to the legislature. I don't know

how many of those prisoners in there followed 790 the activities of the New York State Legislature, but I regarded that as being a paper promise, at best, and so, when you say how many concessions did the prisoners make, I don't know that they literally made a single concession.

But again, I have to come back to my feeling that the major problem here, the problem here was the question of amnesty.

Now, for instance, we had that point that was raised there and discussed, that some of the prisoners wanted to be taken -- released and taken to Third World, non-imperialist countries. For example, you had that point.

You had also the point that was raised late in the discussions -- I believe it's not even covered in the twenty-eight points, for the dismissal of Warden Mancusi at Attica.

Q It was covered in the draft of the -that emerged from the Friday night meeting with the
inmates but Commissioner Oswald did not accede to
it, so it was not even included in the twenty-eight
proposals.

A Right.

So, my feeling is that if we had been able

1 to 2 si 3 ar 4 th 5 mi 6 Yo 7 Al 8

situation, but if we had been able to work out the amnesty point in my judgment then, things like that -- that the Commissioner would not accede to the dismissal of Mancusi and that plainly the State of New York wasn't going to ship all of these fellow off to Algeria, or wherever it was they wanted to go.

I think all of these points would have been lost and passed over and would have been in the nature of concessions by the prisoners, had they been able to get the amnesty thing worked out.

I have insisted here, and my feelings are very strong and very clear about it, that that was the question all along, that these other things, desirable as it may have been to get the pork off the diet and all that kind of thing, all that was peripheral in my judgment.

MR. LIMAN: Mr. Chairman, I have no further questions.

MR. McKAY: Mr. Wicker, I would like to ask two questions that have to do with judgments that the Commission may at some time have to make.

I want it clearly understood that I have no opinion on the matters now, but I respect

You spoke several times about the amnesty being the key question and, I think, you made it very clear that it's total and complete amnesty that was the issue and so, when you talk about compromise, there really wasn't much room for compromise or accommodation as far as the prisoners are concerned; is that right?

THE WITNESS: Yes. I think the focal points were directed at offenses that might have occurred during the revolt and uprising, not at the original offenses that had placed people in prison.

MR. McKAY: I take it when you spoke to the Governor on Sunday afternoon, you made it clear that that was your view?

THE WITNESS: Yes.

MR. McKAY: Now, the Governor later said that he didn't believe that he had the power to grant amnesty and in any event he didn't favor giving amnesty.

Put aside the legal question for the moment, again, on which I have no position at this point. Would you have thought that he should give complete amnesty if he had the power

2 -

THE WITNESS: Just a total forgive-

ness of everything that happened there?

MR. McKAY: Yes.

THE WITNESS: No. I wouldn't have personally thought so, and I would be inclined to agree that he probably -- or shouldn't -- I would be inclined to agree that he probably doesn't have that kind of legal power.

MR. McKAY: Put the legal question aside.

THE WITNESS: I think probably to him that afternoon was never that he should grant the amnesty. My plea to him was that he should come to Attica and make moves of that kind. That, I thought, would forestall a physical violent show-down here and it might provide time in which something could be worked out that both sides could accept.

Now, for example, I said the other day that if I had it all to do over again I would have tried to get someone outside of the presence of this situation to make recommendations to us as to what might be acceptable here.

I'm not an attorney and I don't know what

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

might be done here, but I think, for 2

794

instance, if there could have been a statement that could have been worded in such a way that these rather shrewd prison lawyers would have believed in, and if it had come in such a way that they could have believed it from the Governor, from the Commissioner or whatever, to the extent that the only -- that they were going to prosecute capital crimes to the limit, but leaving the clear impression that only capital crimes, that is at that point the death of Guard Quinn --

Was the only capital MR. McKAY: offense?

THE WITNESS: At that point, that I'm talking about, yes.

I think that possibly not there on Sunday afternoon, but by Tuesday or by Wednesday I think that might have been an effective formula. That's only my judgment.

> MR. McKAY: I understand.

The other general nature of questions I wanted to ask is as to the role of observers in the negotiations.

If you were to give advice to prison administrators in another situation which happily

3 4

6 7

5

9

10

8

11

12 13

14

15 16

17

18

19

20

21

22 23

24

25

we hope will never occur, would you again 795 suggest the negotiations as proper, leaving apart for the moment who should do the negotiating?

THE WITNESS: Well, I have heard it suggested since -- not suggested, but argued rather forcefully since, that the best thing to do -- and I think it's even standard operating procedure in some prison circumstances -- the best thing to do when something on the nature of the Attica uprising occurs, is to move immediately right away with force to retake your prison and, I think that that overlooks, as applied to Attica, I think it overlooks the size of that thing there.

Generally speaking, I think the people who advocate that are thinking in terms of fifty or a hundred people out of control, not twelve hundred that are out of control in one fourth of the yard. Even so, I think that is an arguable proposition and what seems to me to be almost unanswerable is perhaps that is true, that you should move with force immediately or perhaps it's true that you should not do that and you should sit down and try to negotiate a suggestion, but it seems to me it is the worst of both approaches

to negotiate for four days and then to 796 use -- to move to a violent solution of the thing.

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

If you have already gone for four days, if the hostages haven't been harmed in that time, it seems to me that the chances are very likely that they aren't going to be harmed. The chances are very likely that the vicissitudes of holding out there without very much food or water or sanitary facilities, and so forth, all of those things -- the likelihood that moderate forces among the prisoners themselves might take over, that the fact -- I would have thought, for instance, here I'm being, I guess, a super-psychologist, but I would have thought that once a lot of the rhetorical violence of that episode had been spent, then perhaps men would begin to think in much more practical terms of how do we get out of this.

So, it seems to me that the worst thing to have done, I submit in all honesty, was to negotiate for four days and then attack.

I think it's arguable that you would have had a great deal less bloodshed and much better situation by moving immediately with force with sensible and limited force, the minute the uprising occurred.

19 20

23

24

25

MR. McKAY: My final question-given the decision to negotiate in the circumstances such as this, what advice would you give about assembling outside observers to participate in that negotiation?

THE WITNESS: Well, I don't know. It's very hard to say now. I think that this is the general matter that has some good aspects to it, because clearly it helped there -- in that group -- to have somebody who the prisoners had some confidence in to have invited up there. the other hand, you could see we had entirely too many people trying to act in that capacity at Attica, and that limited our ability to agree, even among ourselves.

Secondly, I think that the State itself should have placed -- you see, I don't know all the limitations that operated on them, but insofar as I could see, I think the State should have maintained a more active role in that going back and forth between the prisoners and the State officials --

MR. McKAY: By which you mean that too heavy a burden was placed upon the observers? It seems to me THE WITNESS: Yes.

24

25

798 in the long run we had nothing to deliver. It seems to me the group that was dealing with the prisoners -- I mean, that group should have been so constituted that at some point it could make an agreement.

All we were acting as, really, were letter carriers, really, back and forth between people, between the two sides. But I don't know. I think that those situations are always going to be, so different circumstances are going to be such that I wouldn't want to try to lay down, you know, a sort of a firm formula on how you handle one of these things, and I'm not qualified to handle that in any case.

I would say -- repeating myself -- I would say that the group we had was too large. The group we had was asked to or it was allowed to -- expected to do too much. We do not, in my judgment, really work out the solution and at the same time keep the peace.

It seems to me that probably the best role we could have played there would have been to keep the peace. Somebody else somewhere worked out a solution and then maybe once the solution or an effective package had been done, maybe we

24

25

23

could have been a more effective group 799 than we were in selling it to both sides, I don't know.

One thing is quite clear, that whatever our group did, whatever else our group did there failed, so something was wrong with it.

Thank you. That's a very MR. McKAY: thoughtful answer to my question.

I would like to start the other commissioners' questions with Mr. Wilbanks.

Mr. Wicker, we have MR. WILBANKS: been told that one of the reasons that the State made its assault when it did was their view of the political structure in the yard. Dr. Hanson testified that he felt like the violent forces were gaining control over the conservative forces, and therefore he told the State officials, "We have got to go in now. The hostages are going to be killed as the negotiations continue."

You have testified that your impression was the opposite. Can you explain what gave you this impression; what basis you have for this impression?

> It seems to be a very crucial question. THE WITNESS: Well, it certainly is,

1 and I think the Doctor was in the yard --2 this is the Doctor from the outside --3 MR. WILBANKS: Yes. 4 THE WITNESS: Not the prison doctor? 5 MR. WILBANKS: That's right. 6 You know, his judgment THE WITNESS: 7 is his own and no doubt based on very close ob-8 servations. I wouldn't want to differ with him. 9 I only have to go on what I saw, and what I saw 10 were three things, I think, really. 11 The first was that four days passed 12 there without any physical harm to these hostages. 13 The second was that I did not sense, but 14 it's on a less limited exposure than the doctor, 15 I did not sense a hardening and increasing power 16 of violent forces in there as against others. 17 18 been wrong about it. 19 The third thing was, it never seemed to 20

21

22

23

24

25

I didn't sense that. I may well have

800

me that it made any difference whatsoever that the prisoners would damage those hostages, because they had -- prisoners are very shrewd about things that affect them in this way and they had some effective leaders in there and it was always so clearly -- I mean, the minute one of those hos-

23

24

25

tages was killed, it was clear that 801 the game was going to be over and it was clear that it was going to be over in the most violent fashion, I suppose that it was true that you always ran the possibility that somebody would just lose control of himself or lose control of the whole situation, you would have a riot-type situation in there. That was always a possibility, it seemed to me, a remote possibility, but again, I think that's a matter of judgment.

MR. WILBANKS: Did you know of the impression of Dr. Hanson that had been told to the administration?

> THE WITNESS: At that time?

MR. WILBANKS: Yes.

THE WITNESS: No.

MR. WILBANKS: One inmate testified yesterday that the vote on the ultimatum might or would have been different if the inmates would have known what the score was, so to speak, that the authorities were going to come in with guns.

I heard your speeches at I think the American Bar Association meeting back in October or November, and this is an impression, because

speech; but I think there was some comment about Mr. Kunstler's Third World speech, and my impression was you said something like this, that -- you can correct me if I am wrong -- perhaps someone said something that might have been improper, but that others, including yourself, might have not said things that should have been said at that time.

Now, my question really is: did in fact the inmates know the score on Sunday, and if not, did you tell them?

THE WITNESS: Well, my impression is that they knew the score pretty well. The atmosphere in the yard was such -- the tone of the speeches was such, the whole circumstances surrounding the situation were such -- that I did indeed feel that people knew the score and that that was -- that we were at the end of the line, so to speak. I did not make any speech to that effect. I didn't make any speech at all on Sunday. In fact, I never made any speeches throughout the time there except to introduce myself.

That was not in any way to say that I

18

19

20 21

> 22 23

24

25

shouldn't have made a speech. It may very well be that I should have done that on Sunday afternoon or at any other time there. It may well be that I should have stood up and said that if the prisoners thought they were all going to get out of there alive and be flown off to Algeria, they were kidding themselves. Maybe I should have said that. I didn't say that. didn't say that because primarily in my judgment that was not the kind of thing at that moment that was going to be effective. Maybe it would have been. It may well have been that I thought that such a statement as that would be endangering to anybody who made it and those who were with him.

I am not at any point here trying to picture either myself or the observers as having -or the other observers -- as doing everything that they should have done or might have done or could have done. I'm trying to say that in my judgment it is wrong -- it was my judgment then and it is my judgment now to say that if the observers had only conducted themselves differently, then the prisoners would have surrendered and the outcome would have been different.

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

I don't think that's true at I never had the feeling that we had the power at that time, on that Sunday afternoon, to make -- to persuade those prisoners with whatever rhetoric and whatever statements and whatever facts -- I didn't think we had the power to persuade them to accept that package or any other package short of total amnesty and give up the revolt.

What I felt then and what I feel now is that might have been done later on. It might have been done Tuesday or Wednesday. We didn't get that opportunity. Maybe we didn't deserve it, and maybe the State wasn't able to give it, but in any case we did not get that opportunity, and I hold strongly to my view, despite whatever might be attributed to me or any of the other observers, I hold very strongly the view that there was nothing we could have done on Sunday afternoon to make the prisoners surrender.

That being the case, and turning the situation around, therefore, we caused them to hold out or that any member of our group caused them to hold out, seems to me to be blind to the facts and trying to duck the truth of what happened

2

3

4

5

23

24

25

and I will say this: no member of the 805 observers' group ordered any member shot, nor did any member of the observer group order any force against any human being, nor did any observer doubt that when that force was used, there would be a great loss of life, nor did any member of the observer group accept the prospect of a great loss of life as a proper one in order to uphold the tenets of our society.

The responsibility for those deaths doesn't lie on the observer group, even though it may be said, by many of us there, that if we had only done something else, perhaps possibly we might have been able to get better than we did.

> MR. WILBANKS: Thank you very much.

MR. McKAY: Bishop Broderick.

BISHOP BRODERICK: Mr. Wicker, my question would be concerning your first appearance in the yard.

Did you see any signs of organization? THE WITNESS: I saw signs -- yes, I thought of organization in the sense of having the immediate situation under control. doesn't necessarily imply that this was a longplanned situation. I don't know about that.

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

I think precisely would be what I am saying.

Did you feel that this had been planned for some time; was it following a game plan or a master plan?

You see, there is a theory held by a very vocal group that this was kind of Marxistinspired or revolutionist inspired, and that it had been planned for some time; that these inmates had secretly in certain sections of the prison, had formulated plans for a revolt and this was the day to execute them and --

THE WITNESS: I just really cannot answer that question. There was -- it seemed clear to me throughout the time that I was in the prison yard that the situation was very well organized as to security forces, as to -- they had -- as I testified the other day -- they had a man obviously taking minutes of what was said, typing; they had a loudspeaker system. was clearly -- for the immediate moment you might say the housekeeping kind of organization.

It looked to me like a pretty good organization, but nothing in that would necessarily suggest that it was long planned, nor can I say

don't know.

BISHOP BRODERICK: The other question is on the amnesty deal.

We know that -- it concerned itself specifically with reprisals within the walls, but I wonder whether at the time in the public image the people knew this. I think a lot of people thought that it was complete amnesty and just letting the prisoners out of prison completely for whatever crimes they committed before they went to prison.

My question is, did the inmates understand that it was for acts within the walls, so far as you were concerned?

ones that we were dealing with in -- you know,
the ones who spoke about amnesty and in every way
I was never under any doubt in there that that!'s
what we were talking about, just amnesty for
all events surrounding the revolt, and it was
really only later, after the whole thing was over,
that I became aware that some people were talking about amnesty for crimes committed five years
ago, or at least in the past.

5

23 24

25

BISHOP BRODERICK: Then, in 808 your telephone call to the Governor, it was clear to him, wasn't it, that you were asking him to come to Attica to speak to the observers?

Well, I think it must THE WITNESS: have been, because I think we certainly made that point very strongly. Of course, as in any conversation, as all newspaper men know, there is a great possibility of misunderstanding on both sides, but in this case, four of us talked to the Governor and my recollection is that he understood the point that we were making, that the observer group invited him to come to Attica and talk to the observer group and at no point did I say "Governor, you know, you ought to come up here and go in and talk to the prisoners or even talk to the prisoners from outside," or anything of the sort.

In fact, I think one of the things that he felt was that that was not a proper thing for him to do, to be in that kind of contact with the prisoners, and my recollection is that in our conversation that one of the objections that he made to coming to Attica was that he would immediately be under pressure to meet per-

25

sonally with the prisoners, and I remember 809 to assure him that that wouldn't be the case, and that we did want him to come at our invitation to meet with us.

BISHOP BRODERICK: Do you think that would be a probability, his coming to Attica to speak to you and not going out another hundred feet or so to the yard to the prisoners, howing he was there?

THE WITNESS: I think he was right that once he physically was present in Attica, he would have been under some pressure, in some way, to address himself to the prisoners, either by an address system or meeting with a small group of them, or by going into the yard, or whatever, although I feel quite certain Commissioner Oswald wouldn't have permitted the Governor to go into the yard.

By the last day, you know, I guess starting on Saturday night, we were having to sign waivers of our personal -- of the State's liability for our safety.

You spoke about BISHOP BRODERICK: electrifying the barriers, at least the rumor was that barriers were electrified.

anything to that?

THE WITNESS: I never heard it, but

I was told on that day that the reason the power

was cut off was to eliminate any such possibility.

BISHOP BRODERICK: Do you think that there was anything to that?

THE WITNESS: I have no idea. I have no idea. The prisoners, I'm sure they must have hae -- among their twelve hundred-odd people, they must have had any number of people who had virtually every form of technical ability, you know, so there may have been something to it. I don't know.

BISHOP BRODERICK: Then in all these proceedings, Mr. Wicker, did you have any contact at all with the Superintendent, Mr. Mancusi?

What role was he playing, or was he playing any role?

THE WITNESS: Oh, yes. I'm certain he was playing a role, although I think Commissioner Oswald, once he arrived, actually took command.

The first evening that we were there,
on Friday evening, I think it was after our first --

23

25

my first trip into the prison yard, 811 Mr. Mancusi met with us, and Mr. Oswald, and probably Mr. Dunbar, and as I recall, Mr. Mancusi was quite vocal at the time. I had the firm impression that he wanted to take a stronger line than Commissioner Oswald was at that time willing to accept.

After that, I don't remember dealing with Mr. Mancusi any further, after Friday evening.

> Thank you. BISHOP BRODERICK:

Mr. Henix. MR. McKAY:

Mr. Wicker, I want to MR. HENIX: ask you, have you any experience in union negotiations; have you ever, like, covered union negotiations?

Well, in the long ago THE WITNESS: past I have, yes. And, of course, anyone who works in the New York newspaper field knows something about union negotiations.

In that case, I want to MR. HENIX: know, is it your experience in many of the negotiations that take place -- isn't it, as a rule, you start off with things that are non-negotiable --I think that both sides understand that this is

22

21

23 24

25

where things are at and gradually you 812 bring it into a framework where negotiations can start and take place.

Well, I think that's THE WITNESS: probably so. I suppose every circumstance is different.

Yes, under normal condi-MR. HENIX: tions.

> THE WITNESS: Yes.

MR. HENIX: So, my question is, actually: do you feel that the administration, the part of the administration that you were exposed to at Attica, took these negotiations as really serious negotiations in that sense, or did they feel that these inmates had quite a bit of nerve to actually take on the institution and make these kinds of demands and that this attitude was in some way present in the decisionmaking; in whatever decisions they came to?

THE WITNESS: Well, I think that attitude was present to some extent, but on the other hand, I think that the State did undertake to allow negotiations to go forward.

They did invite some members of our observers' group there, and they facilitated all

the rest of us getting there. I had the feeling all along in my dealings with him that Commissioner Oswald hoped to negotiate a settlement. I had the feeling that he was under considerable pressure from his colleagues and perhaps superiors, for all I know, to get the business over with. And my personal judgment was then that the Commissioner probably stretched the situation out longer than it would have been otherwise, because I think he was more nearly in favor of negotiating some kind of settlement, perhaps, than his colleagues, but, again, that's a judgment on my part and not evidence.

(Continued on page 814.)

did testify that in one morning--this was when you testified on the 18th, when you were to District Attorney James' house, the hospitality there, as far as you were concerned, was satisfactory or even more so in the way that breakfast was served--

MR. HENIX: Because you

THE WITNESS: Oh, yes. Mr. James couldn't have been nicer.

MR. HENIX: He couldn't have been a nicer person.

THE WITNESS: Oh, yes.

MR. HENIX: But I think you also said that the wives and loved ones and the officers that surrounded the prison and a combination of these things in prior testimony were very hostile to the negotiation because it never really was clear in their minds as to just where you all stood.

THE WITNESS: That's right, and I think it would have been quite easy for them to merely see us as prolonging that period of time during which their husbands and sons were being held prisoner.

MR. HENIX: So, do you think that this attitude that was there had anything to do with

2

4

5

6

7 8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

you felt that it would seem for all apparent reasons if things had reached that impass additional time couldn't do any harm; do you think that feeling had anything to do with pushing things forward, like the feelings in Wyoming County, the people there?

I think it probably THE WITNESS: Yes. did and the feelings of other correctional officers, not merely those at Attica, but around the state who undoubtedly were worried that their own institutions would go up at any moment. I think all that had something to do with it and one of the things that -- if I may offer a suggestion, because I don't know the answer to it. I think it would be useful to know, because it surely must have been a very key circumstance in the decision, what the attitude of the state police was at this point, because they were the people, as I understand it, who would have to carry out the attack largely.

MR. LIMAN: We will be presenting their testimony next week.

MR. HENIX: Just one more part. It might turn out to be a little lengthy, but I doubt it.

3

4

5

6

7

8

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

_ _

25

that the actions taken at Attica served as a deterent against any further prison uprisings in view of the fact that this was, you feel, one of the considerations of the establishment that by making this move they can sort of, you know, express the point; do you think that point was made?

THE WITNESS: Well, I expected, probably, in the immediate aftermath of Attica, which would be right up to now--I expect probably that may-the violence and the deaths that resulted there may have some deterent effect in that sense, but I don't think it will in the long run because in the long run--in my judgment you know it was the conditions at Attica that caused the prisoners to get into that kind of situation to act as they did and I think that where those conditions existed and continue to exist, then the possibility of another Attica on whatever scale is always going to exist, but I would suppose it's probably true, that after 43 people died, then probably there is some -- that has some deterent effect, although I think we have had a number of these episodes since, particularly the one in New Jersey, which followed fairly closely after Attica and I think

will overcome that, if there was any deterent effect that after the years go along will operate the other way and that is, I think, Attica. The very word will become a rallying cry and a symbol.

MR. HENIX: It has already. It actually has already.

I have asked this question of Mr. Jones yesterday, because he, like yourself, is a member of the press and statements were made here in front of the Commission by guards that they felt that even—there were volumes and volumes of materials written by the press and extensive coverage by the press even before this Commission was formed, that the press bad rapped him unfairly, like the impression and the picture that was given by the press was inaccurate and they were really bad rapped as bad guys and you, as members of the press, do you feel that in your reviewing of different articles were written that this is true?

THE WITNESS: That the correctional officers got a bum rap, so to speak?

MR. HENIX: Yes.

THE WITNESS: Well, I don't know. I think that as I tried to say the other day, I think it's

18 19

22

23 24

25

blame on the correction officers. Correction officers in this state and in many others, they don't have the training that we would wish them to have. Indeed their jobs are not jobs that most of us would wish to have. They operate under very difficult and dangerous circumstances. It has been said and said truly, I think, that correctional officers are in many ways in prison with the prisoners, so that I have always tried to understand the difficulties that were involved there and I think that -- I even wrote a piece to that effect, not that that makes all that much difference, but I would say that if the correction officers and the correction system and the state officials of this state feel that the press mislead its readers as to what happened at Attica, then one of these corrective for that might be to let the press go in and see for itself what happened rather than have official spokesmen who tell you something which you have no way of knowing if it is true or not and it's hard for the special spokesmen to know whether it is true or not.

too simple just to put all the

In my own mind, one of the most significant prison reforms that could be made at this

value, but it could be done quickly and easily and that is that there ought to be--that there ought to be a right of public access to every prison in this country, Federal, state, local and otherwise. There ought to be a right of surprise, sudden access by qualified people and I don't mean by inspectors appointed by the state and put into the regular state bureaucracy. I mean by a qualified--by local representatives, local civic groups, by the press, if that's the instrument that's chosen, or whatever, because prisons are classically chosen societies and nobody knows what goes on in there.

Wardens don't know what goes on in there. We had a classic example in that in the reporting of the supposed deaths of the hostages at the hands of the guards—at the hands of the prisoners up there and in my judgment, if those correction officers and anyone else right up to the governor feels that the press misrepresented what's there, their very best corrective is to give the press some cooperation and some accesss so that the qualified people and the honest people in the press—because, you know, it has been my experience, after a life—

of the press are honest, contrary to public opinion. If they had some access and could see for themselves rather than having to rely upon official statements, which are of dubious value at best, then I think there might be a clearer picture presented to the public, yes. It wouldn't necessarily have one that would make the correction system feel better.

MR. HENIX: The last part of my question was in reference, like you were saying, the structure, administrative structure of prison officials, the way the orders are passed down, the way orders are given is very much like the Army.

One of the things that we used to view the officers as people who were doing time on the installment plan. In fact, when this officer, who was here and had 34 years into the prison, now, he had to go live another way, I really had a lot of empathy for him.

Thank you.

MR. McKAY: Mrs. Wadsworth.

MRS. WADSWORTH: My question is in the decision making process. I think it's been most of our experience that after a great deal of words

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

821

are said, that a very few people

make usually the major decisions. Certainly we all know that the observer rule was unclear all the way. In spite of this, would you say that the observers, as a group, had significant impact on the decisions made as to how this ended?

THE WITNESS: As to how it ended?

MRS. WADSWORTH: Yes. Do you really feel that you were part of the decision making?

THE WITNESS: Well, I certainly don't feel that we had any part in the decision finally to attack the prisoners there because I don't know -- then they have been--you see, we had state legislatures, for instance, flown in and out of our group and so forth and there may have been someone of those who advised commissioner, or Mr. Douglas, whoever, that the game was up and he had to attack. I can't say as to that, but generally speaking the sense of the observers group was certainly not that at the sense of the observers group, the gentle--the nearest thing that we had to a consensus was that that on that Sunday was that we hoped for more time and we put out a statement that was pretty nearly unanimous among us, certainly by a large number of our group,

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

urging the people to write and telegraph the governor to come to New York.

All of that we were trying to delay and forestall an attack, so I don't think that we had any part in that.

If you mean in some sort of an effective way did our activities there contribute towards what they finally did, why, then certainly that's true in the sense that we weren't able to work out a solution that both sides could accept. I think that's true.

I think, as I said earlier, we had another effect in that our presence there tended to delay the final assault. I'm certain in my own mind it would have been on Sunday if we had not been there, so we had all those actions, but I don't think that -- I can't think in what way anything--I don't think the observers group, at any point, would have lent any weight to the decision that ultimately was taken, not as a group, certainly.

MRS. WADSWORTH: It was a very effective group of people I would have thought. I know that since you're interested in Attica you have been giving a great many speeches around the country

come home to the public.

body listening?

2

1

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

THE WITNESS: Well, they are usually listening while you talk. I had the feeling myself--I have said before that prison reform is in some ways kind of this year's issue. We had hunger for a while and then ecology and now we have prison reform. But, I think the thing goes a good bit deeper than that, not to make my speech to the Commission, but I think that it is so plain that our prisons contribute to rather than lessen the incidents of crime in America; I think that the public interest in doing something about the way we deal with offenders is so great and is so evident that I am inclined to think that through a combination of gradual public awareness and increasing prisoner activity this is all going to

In my general observations over the years, cynical perhaps, is that we don't have political generals in this country who form armies. We have political armies that form and then they tend to find their generals and in my judgment, at some point in the foreseeable future the army that I think is forming up slowly and surely to

do something about these disreputable and outrageous dungeons that becast
people like animals, I think the army is forming
and when it is formed it will find its general
and then something will form.

Just to make one more paragraph out of my speech. It isn't, after all, a really tremendously overwhelming problem in terms of cost. It is a finite problem. We know how many prisons there are and how many prisoners there are. There are a lot of things that can be done and in piecemeal fashion are being tried here and there. This is not one of those social problems so many of which we face that seem overwhelming in their dimensions and absolutely monstrous in what might be done. I mean, I don't think it is at least—

MRS. WADSWORTH: At any part of these features, Mr. Wicker, and my last part you touched upon and your answer to Mr. Henix's question, believing as I do that you have had a great experience and certainly a great emotional feeling about this issue, would you say that the major thrust which you would like to see or one of the major thrusts that you would like to see come out of a Commission like this, after we gather

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

so very much material, I hope it goes somewhere.

Would you think that one of the major thrusts might be this openness which you were describing in answer to Mr. Henix's question, an openness about prisons to the public?

THE WITNESS: Well, yes. I think so, certainly. Some systems are being taken on -- to a very inadequate degree the Federal prisons have listed their regulations -- if Federal prisons have listed their regulations about communications with their family--it is quite inadequate, but just the other day the Washington Post won a suit, at least in the lower Federal Court, for a reporter to have access to at least to interview prisoners. So, I think that's on the move and certainly it seems to me that is this Commission, after its findings and in its wisdom, thought that was a good idea, as I do, why, I believe, you know, the way to the Commission before it would be very efficient indeed.

MR. McKAY: Mrs. Guerrero.

MRS. GUERRERO: Mr. Wicker, I have learned, after I became a member of this Commission, that according to law, when hostages are

1 tak
2 pos
3 Do y
4 been
5 day
6 happ

posed to be held immediately by force, if needed.

Do you feel—do you feel more people would have

been killed if the prison had been taken on Thursday rather than on the following Monday as it

happened?

MR. McKAY: Mr. Wicker, would you hold your answer for just a moment while the Reporter changes his tape.

question and I am not at all qualified to answer it, but I will say this: since the episode at Attica, I have put in some time trying to learn something about these matters that I didn't know much about before and I found, I'd say, a number of people who do know something about prisons in a professional sense who feel that that indeed is the best procedure, that when you have an uprising of this kind, if you move immediately before the prisoners have time to organize before they have time to perform defenses, before they have time for leaders really to emerge and so forth, that you are likely to escape with less damage than otherwise.

Now, whether or not that was possible

at Attica, I can't say and I

think, you know, there really isn't any precedent
for Attica because generally speaking we haven't
had prison revolts of that size before, so what
might be effective when you are talking about 25
or 50 men might not be with 1400 or so.

Incidentally, you mentioned what the law is. I don't know the law about that, but I am told that in California the practice is—and that all correctional officers are so informed and everybody understands that a correctional officer who is held hostage is expendable; that that's part of the hazards of the game for a correction officer and that the state is not going to negotiate on his behalf anything; that he is expendable.

MR. LIMAN: It is allegedly the law of the prisons, the custom. It's not law in the sense of a statute.

MRS. GUERRERO: That's what we were told, too, that the idea was to do it right away and that there would have been less loss of life.

THE WITNESS: Well, I certainly think, as I said before, that after 4 days had passed, 5, 3, whatever it might be, clearly the prisoners have had more time to organize; they have had more time

11 12

14

13

15

16

17 18

19

20

21

22 23

24

25

to plan what they will do in the event of an attack; they have had perhaps more time, particularly when they negotiate circumstances attained, as it did in Attica, they have been perhaps encouraged to believe that something can be worked out. They may have gotten, as I believe you had testimony to suggest, they may have gotten a feeling of themselves in the sense as being large figures on the stage, so that all of this becomes more important in a way. I think--I just can't find any logic that will support the idea of negotiating for 4 days and then attacking. It seems to me once the negotiating process begins, you really have to play that out to the end of the string and, of course, I suppose that's what the state officials thought that they had done here.

MRS. GUERRERO: Thank you very much.

MR. McKAY: Mr. Rothschild.

MR. ROTHSCHILD: Mr. Wicker, I am confused and puzzled and I think perhaps if we could go over a few of the things you have said and get them in context, I would be unpuzzled, at least I have that hope.

When you first testified the other day you indicated that you went to Attica with the

3

4

5

6

7 8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

understanding, I believe, that the observers were really to be observers of someone else's negotiations.

THE WITNESS: Yes.

MR. ROTHSCHILD: And that didn't come about and from that time the roles were cast in a situation which really were in retrospect quite alien. Let me say this, I'm not trying to criticize the observers. I think most of you did something quite courageous and did something which was quite out of the norm for us and all of us are grateful to your efforts there.

I am puzzled about your role. You indicated a few minutes ago that many of the observers ordered the use of force to reduce the uprising. I guess that really wasn't in their power in a sense. The observers really didn't have the responsibility for the law and order of the correctional establishment of the State of New York and with that responsibility went certain obligations, which were not really put upon the observers and I am puzzled, because we also have had a good deal of information in the hearings and questions relative to the Sunday afternoon speeches and you have made quite a stalwart defense in the

1

22

23

asked?

24

25

what happened on Monday and certainly there is no debate on that. But I think in fairness to the state authorities, and I don't think any of us have yet made up our minds and I don't think we ever will as to who the good guys were and who the bad guys were, because I suspect nothing is quite that simple. Was not, in a sense, the observers role, as it became, not as any of you desired it to become, I don't think, a role of perhaps influencing attitudes as much as anything else and being a messenger, as you said, between the two parties, but you were more than a messenger, I think, and in that context, and in retrospect, and only in retrospect, do you think that the Sunday evening affairs did in fact raise expectations beyond that point which reality might have suggested they should have at the level which reality would have suggested they should have been

THE WITNESS: You mean the Sunday afternoon session when we were in there?

MR. ROTHSCHILD:

THE WITNESS: Well, it's a hard judgment I don't think so. I suspected, if you to make.

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

read the cold record, you might think so from some of the remarks that were made, but I think it quite arguable, perhaps, that I or someone--I am as good a nominee as anybody-that I should have made a very cold turkey speech and said, "You know, you guys have had it." I think that's arguable, but--and in--

MR. ROTHSCHILD: How about the reverse--THE WITNESS: I think in many ways I should have -- I am coming to the reverse. I am saying I don't think the reverse is true and in a sense I have to ask you to take what I say on faith because I'm saying it so much by the atmosphere of the moment. I don't think when we left that prison--when we left that prison there were men--there were prisoners with tears streaming down their faces. There were black men who came out of the crowd--by then we didn't have all this chain stuff or linking of arms, it was all a mass, black men who came out of that yard and threw their arms around me, for example. I'm trying to judge by the atmosphere and I'll tell you: everybody knew it was over in there. I mean, and yet I can't prove it by any given statement and maybe I am even wrong about it, but if my judgment as to

14

15

16

17

18

19

20

21

22

23

24

25

simply held on. I don't see how that could be possible in the atmosphere there that took place there.

Now, you know when one of those black brothers, as they referred to them, on our group made a speech to the black brothers who were in the prison yard, who were imprisoned there and said that, you know, in--let us say I'm just paraphrasing in effect said--talked of solidarity and he talked of ultimate--ultimate vindication of what they believed in an so forth--reduced to cold print that might look like you are urging them to hold out, but in context of the moment, what you are saying is--what I thought they were saying was, what I took them to be saying was that you fellows have

fought a good fight and we are going out there to

continue to fight the good fight and one of the

yard is wrong, why, then, as many of my critics

maintain, I don't belong in the newspaper busi-

long time and so while I am saying that possibly

we should have said things that we didn't say, I

do not believe that the things that were said con-

tributed to a feeling on the part of the prisoners

that they ultimately were going to win if they just

I have been making judgments like that a

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

things that, you know, as I say people were saying to me, knowing who I was and so forth, men would grab me by the arm and they would say, you know, you have got to carry on the fight for us outside, that kind of thing. So, I don't want to make too strong a case out of it, ut I had the feeling and I thought everybody else in the yard had the feeling that the ball game was over and that we were saying goodbye to men who were in many cases -- were going to be dead the next day, which indeed proved to be true.

MR. ROTHSCHILD: Let me ask one other question in this confusion.

The observers were finally cast, almost at least by some people, were requested -- it was felt they should have been cast almost in the role of a mediator. On the other hand, again looking at the cold record, many of the observers, I guess the majority, I don't know, were in fact there at the request of one party at the dispute, the in-So that in a sense, judging agin from the part of the Commissioner Oswald or whomsoever in fact had to make a judgment that was--that he had to make, do you suppose that that was a group that he could really look upon an impartial group be-

cause it was not so selected; it wasn't their purpose.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

THE WITNESS: I doubt it very seriously. I would think certainly by the time Sunday night, when we had the long session with him in the observers room, I think he should have had, if he was--I observed the man to do, he should have had the feeling that there was great sentiment in that room which was in fact, I mean, in the given situation in favor of the prisoners. That's to say not to have an armed attack on the prison, to let the situation go along for a while and see if it could be worked out on some other basis. I don't think--he couldn't have had any doubt that there was sentiment in the room there. Hence, what you say is true and I think circumstances so decreed. The state had the force and the state, as you put it, had the decision to make as to whether or not to use that force. Ultimately, certainly at some point, not necessarily in my judgment, to the point that they did make that decision, but ultimately at some point they had to make such a decision, use force or not use it. That being the case, since all of that power belonged to them, I think it's natural in a way and it was indeed

1

3

4

5

6 7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

could have worked, we became in so many ways the advocates of the prisoners, not the advocates of the prisoners necessarily in an overall and total sense, but the advocates of prisoners in that particular situation. When we said don't attack yet, we were in effect being advocates of the prisoners. I don't think there is any doubt about that, but we were being advocates of the prisoners in that point, certainly in my case, and I think in most of the others, only in an effort to avoid the final outcome, the final attack.

MR. ROTHSCHILD: I think that covers--I have asked these really--I am concerned, really, because when you spoke the other day it seemed to me that in the end--and the record will state what it states -- I sense that you felt that the state had justified what they did on the basis of what the observers had said and what had happened on Sunday evening and I think that's unfair, in a sense, that's not what you really meant it, but that's the way it came to me, an unfair allegation in a sense. I think the state had some responsibility which they exercised on the basis of what information was appropriate for them to observe.

19 20

2122

23

24

25

If I left that allegation in your mind, it would be unfair, I think. No, I have said--I don't know what--if I have understood Mr. Oswald and the governor and all, they felt that they had to retake the institution for the reasons of their public responsibility and so forth. I have differed with that as a matter of judgment. I have never differed with the fact that ultimately they had to make that decision, make a decision on that question, to the extent that I have recited here and elsewhere the idea that the observers or any members of the observers inflamed the resistance of the prisoners. I resist that idea very strongly to the extent that I have--it's not because I say or that I know that the state is trying to blame the whole debacle on us, but there has been a good deal -- there has been a good deal of speculation and writing in the press about members of our group--about me, as far as that's concerned. I was accused by one of my fellow writers of criminal naivete and that's a very hard--

MR. ROTHSCHILD: It's hard to prove.

THE WITNESS: That's why it's such a

hard charge to make. Now, you don't assert for

leave the impression, if I did leave it with you, that the state is trying to blame what happened on us. They may have been or they may not be, for all I know, but there has been a good deal of public comment, there has been writing that I'm sure every member of the Commission has read that has said that action of some of the members of the observers group really led to what happened on Monday morning and that is the idea that I resist.

MR. ROTHSCHILD: Would you figure would be fair to summarize what I have been trying to say that the observers had a role to play which was--as a matter of fact, if you look at the make up of them and as events developed, they played in a reasonably consistent and predictable fashion that the state had a role to play which was quite a different role, involved from quite different standpoints which they also made in what would be a fairly consistent fashion in view of the information at their hand and to mix the two is probably to do an injustice on both.

THE WITNESS: Well, I agreed with you until where you got to where you said to mix.

observers are alleged to have made the part to go in or not go in. The state is saying that they didn't listen or didn't follow the advice of the observers. It seems to me that they were operating from what--different standpoints.

I don't fault Governor Rockefeller, for example, for not taking the advice of the observers, as such. My criticism of him, any that I have made, is the fact that I think at that time the course of action that was suggested to him was the proper course of action for him to take.

No, there is a subtle distinction I'm making here. I'm not criticizing him for not taking the advice of the observers. It happens I think the advice of the observers was right. I'm criticizing the decision he made in not coming to Attica, which he did for reasons of his own, but in my judgment would have had a salutory effect on the prisoners and would have given us 2 or 3 days more time and what would have been the difference in doing what you did on Monday than doing it on Wednesday and I think it was a gamble well worth taking, so you come to a question of

MR. ROTHSCHILD: I have no quarrel with

THE WITNESS: Conflicting judgment.

MR. ROTHSCHILD: Thank you.

MR. McKAY: Mr. Wicker, you have been extremely helpful in answering questions. I wonder if there is something you would like to tell us independently of any questions?

THE WITNESS: No. It seems to me that

--it seems to me that on this subject and most

others I have had more than adequate opportunity

to make my views known.

MR. McKAY: Which you do for us--which you share with us some of your columns that you made and if your speeches have been reduced to writing, copies of those in which you stated your opinions in more detail than you did here?

THE WITNESS: Yes.

MR. McKAY: We are grateful for your being here today. You have been most helpful.

MR. LIMAN: Yesterday we put on our first inmate witness on conditions in the yard and on how the inmates were reacting to the negotiations. That was Mr. Carpenter who told us

4

5

6

7

8

9

10

11

12

13

14 15

16

17

18

19

20

21

22

23

24

25

how, even though he came to the yard by following a crowd, by the end he felt that he was there by choice.

Today we have another witness, an inmate at Attica, who will tell his prospective on the events in the yard. This is Mr. Francis Huen. who will be examined by Judge Willis.

JOSEPH FRANCIS HUEN, was sworn by Mr. McKay and testified as follows:

MR. WILLIS: Before beginning with Mr. Huen, I would like to say that in our investigation we were assisted by some very fine young people and Mr. David Parker, sitting to my right, next to Mr. Liman is a law student at Yale Law School and was extremely helpful in preparing Mr. Huen for coming here.

EXAMINATION BY MR. WILLIS:

- Q Mr. Huen, how old are you, sir?
- Α I will be 36 in August.
- Where were you born, sir? Q
- Yonkers, New York. Α
- What is your education? Q
- A Eighth grade.
- Are you married? Q